

Capital Markets Supervision

Summary of the marketing requirements for UCITS

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1. Notification and prior approval of marketing communications

The FIN-FSA does not require notification or prior approval of marketing communications for UCITS. In addition to this, the UCITS must comply with Article 4 of the regulation (EU) 2019/1156) on requirements for marketing communications. Under Article 7 of the regulation (EU) 2019/1156 the FIN-FSA has a right to require prior notice of the marketing material used by the management company.

2. Any other requirements for the marketing of UCITS that the competent authority considers appropriate

UCITS must ensure compliance with the following national regulation when marketing UCITS in Finland:

- Chapter 15, sections 1 and 2 of the Mutual Funds Act ([213/2019](#)) when marketing UCITS in Finland. Finnish or Swedish or another language approved by the FIN-FSA must be used if required by the FIN-FSA.
- Act on Financial Supervisory Authority ([878/2008](#)) Chapter 37 c regarding submission of key information documents referred to in regulation (EU) No 1286/2014 on key information documents for packaged retail and insurance-based investment products (PRIIPs) to the Financial Supervisory Authority.
- Securities Markets Act ([746/2012](#)) Chapter 1 section 2 on good securities market practice
- Consumer Protection Act ([38/1978](#)) chapter 2 and 6 a, where relevant.